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## THE EASTON COLLAPSE AND A SATURATED FILTER

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**ABSTRACT.** Suppose that there is a huge cardinal. We prove that a two-stage iteration of Easton collapses produces a saturated filter on the successor of a regular cardinal.

### 1. INTRODUCTION

In the pioneering work [10] Kunen established:

**Theorem (Kunen).** *Suppose that  $\kappa$  is huge with target  $\lambda$ . Then in some forcing extension  $\kappa = \omega_1$ ,  $\lambda = \omega_2$  and  $\omega_1$  carries an  $\omega_2$ -saturated filter.*

Kunen's forcing has the form  $P * \dot{S}(\kappa, \lambda)$ , where  $P$  forces that  $\kappa = \omega_1$  and  $\dot{S}(\kappa, \lambda)$  is the Silver collapse introduced in [15]. The poset  $P$  is constructed by recursion so that  $P * \dot{S}(\kappa, \lambda)$  can be completely embedded into  $j(P)$ , where  $j : V \rightarrow M$  is the original huge embedding. Kunen's construction has since been modified to get models containing filters that are strongly saturated in various senses. We refer the reader to [5] for a comprehensive survey of the development.

In [7] Foreman, Magidor and Shelah proved the following striking result: If  $\lambda$  is supercompact, then the Levy collapse  $C(\omega_1, \lambda)$  forces that ( $\lambda = \omega_2$  and)  $\omega_1$  carries a saturated filter. The hypothesis was later reduced by Todorćević (see [2]) to  $\lambda$  being Woodin, which follows from Kunen's hypothesis as well. In contrast Foreman and Magidor [6] showed that  $C(\omega_2, \lambda)$  forces the nonexistence of a saturated filter on  $\omega_2$  under PFA.

Let us assume again  $\kappa$  is huge with target  $\lambda$ . Todorćević's result implies that a saturated filter on  $\omega_1$  can be forced to exist by the iteration  $C(\omega, \kappa) * \dot{C}(\kappa, \lambda)$  as well. What about  $\omega_2$ ? Namely we ask:

**Question.** *Does  $C(\omega_1, \kappa) * \dot{C}(\kappa, \lambda)$  force that  $\omega_2$  carries an  $\omega_3$ -saturated filter?*

One motivation for the question comes from the following unpublished result of Woodin:  $C(\omega_1, \kappa) * \dot{C}(\kappa, \lambda)$  forces that an  $\omega_2$ -dense filter on  $\omega_2$  exists in some inner model. (See [5] for an exposition in the case of  $\omega_1$ .) Moreover if the answer is positive, then we would get saturated filters on many cardinals by simply iterating Levy collapses. This would in turn help to simplify Foreman's construction [3, 4] of a model in which every regular uncountable cardinal carries a saturated filter.

In this paper we define a poset  $E(\mu, \kappa)$  for a pair of regular cardinals  $\mu < \kappa$ , and call it the Easton collapse. It is the product of standard collapsing posets with Easton support, and forces  $\kappa = \mu^+$  if  $\kappa$  is Mahlo. In place of the original question, we answer the corresponding question for the iteration of Easton collapses:

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**Theorem.** Suppose that  $\kappa$  is huge with target  $\lambda$ . Let  $\mu < \kappa$  be regular. Then  $E(\mu, \kappa) * \dot{E}(\kappa, \lambda)$  forces that  $\kappa$  carries a  $\lambda$ -saturated filter.

In §4 we prove our theorem in somewhat refined form.

## 2. PRELIMINARIES

We refer the reader to [9] for background material.

Throughout the paper we use  $\mu, \kappa$  and  $\lambda$  to denote a regular cardinal. Unless otherwise stated it is understood that  $\mu < \kappa < \lambda$ .

Let  $P$  and  $Q$  be posets. We say that a map  $\pi : P \rightarrow Q$  is a projection if the following hold:

- (1)  $\pi$  is order-preserving, i.e.  $p' \leq_P p \rightarrow \pi(p') \leq_Q \pi(p)$ ,
- (2)  $\pi(1_P) = 1_Q$  and
- (3)  $q \leq_Q \pi(p) \rightarrow \exists p^* \leq_P p (\pi(p^*) \leq_Q q)$ .

Suppose that  $\pi : P \rightarrow Q$  is a projection. Then  $\text{ran } \pi$  is dense in  $Q$ . It is straightforward to check that the map  $q \mapsto \sum \{p \in P : \pi(p) \leq q\}$  is a complete embedding of  $Q$  into  $B(P)$ , the completion of  $P$ . It is also easy to see that if  $D$  is dense open in  $Q$ ,  $\pi^{-1}(D)$  is dense in  $P$ . So if  $G \subset P$  is generic,  $\pi''G$  generates a generic filter over  $Q$ . Let  $H \subset Q$  be  $V$ -generic. In  $V[H]$  let  $P/H$  be the set  $\pi^{-1}(H)$  ordered by  $\leq_P$ . It is straightforward to check that the map  $p \mapsto (\pi(p), \dot{p})$ , where  $\dot{p}$  is a  $Q$ -name with  $\pi(p) \Vdash_Q \dot{p} = p$ , is a dense embedding of  $P$  into  $Q * (P/H)$ . Finally note that the composition of two projections is a projection.

We say that a cardinal  $\gamma$  is strongly regular if  $\gamma^{<\gamma} = \gamma$ . A set  $d$  of strongly regular cardinals is called Easton if  $\sup(d \cap \gamma) < \gamma$  for all regular  $\gamma$ .

Suppose that  $X$  be a set of ordinals and  $P_\gamma$  is a poset for  $\gamma \in X$ . Define

$$\prod_{\gamma \in X}^E P_\gamma = \{p : \text{dom } p \subset X \text{ is Easton} \wedge \forall \gamma \in \text{dom } p (p(\gamma) \in P_\gamma)\}.$$

$\prod_{\gamma \in X}^E P_\gamma$  is ordered coordinatewise:  $p' \leq p$  iff  $\text{dom } p' \supset \text{dom } p$  and  $p'(\gamma) \leq_\gamma p(\gamma)$  for all  $\gamma \in \text{dom } p$ .

Let  $Y \subset X$ . Then  $\prod_{\gamma \in X}^E P_\gamma$  is canonically isomorphic to  $\prod_{\gamma \in Y}^E P_\gamma \times \prod_{\gamma \in X-Y}^E P_\gamma$ . Suppose in addition  $\pi_\gamma : P_\gamma \rightarrow Q_\gamma$  is a projection for  $\gamma \in Y$ . Then it is easy to see that the map  $p \mapsto \langle \pi_\gamma(p(\gamma)) : \gamma \in \text{dom } p \cap Y \rangle$  is a projection from  $\prod_{\gamma \in X}^E P_\gamma$  to  $\prod_{\gamma \in Y}^E Q_\gamma$ .

We say that  $P$  has  $(\kappa, \kappa, \mu)$ -cc if for every  $X \in [P]^\kappa$  there is  $Y \in [X]^\kappa$  such that every  $Z \in [Y]^\mu$  has a common extension. Needless to say,  $(\kappa, \kappa, \mu)$ -cc implies  $\kappa$ -cc. If  $Q$  is separative and can be completely embedded into  $P$ , then the  $(\kappa, \kappa, \mu)$ -cc of  $P$  implies that of  $Q$ .

**Lemma 1.** Suppose that  $\kappa$  is Mahlo and  $P_\gamma$  is a poset of size  $< \kappa$  for  $\gamma < \kappa$ . Then

$$\prod_{\mu \leq \gamma < \kappa}^E P_\gamma \text{ has } (\kappa, \kappa, \mu)\text{-cc.}$$

*Proof.* Let  $\{p_\xi : \xi < \kappa\} \subset \prod_{\mu \leq \gamma < \kappa}^E P_\gamma$ . It suffices to find  $X \in [\kappa]^\kappa$  and  $\delta < \kappa$  such that  $\text{dom } p_\xi - \delta$  is mutually disjoint and  $p_\xi|_\delta$  is constant for  $\xi \in X$ .

Since  $\text{dom } p_\xi$  is Easton,  $\sup(\text{dom } p_\xi \cap \xi) < \xi$  for all regular  $\xi < \kappa$ . Since  $\kappa$  is Mahlo, we get a stationary  $S \subset \kappa$  and  $\delta < \kappa$  such that  $\text{dom } p_\xi \cap \xi \subset \delta$  for all  $\xi \in S$ .

Since  $\text{dom } p_\xi$  is bounded in  $\kappa$ ,  $C = \{\zeta < \kappa : \forall \xi < \zeta (\text{dom } p_\xi \subset \zeta)\}$  is club. Note that if  $\xi < \zeta$  are both from  $S \cap C$ , we have  $\text{dom } p_\xi \cap \text{dom } p_\zeta = \text{dom } p_\xi \cap \zeta \cap \text{dom } p_\zeta \subset \delta$ . Since  $|\prod_{\mu \leq \gamma < \delta} P_\gamma| < \kappa$ , there is  $X \in [S \cap C]^\kappa$  such that  $p_\xi|_\delta$  is constant for  $\xi \in X$ , as desired.  $\square$

For  $\gamma \geq \mu$  we equip the set  ${}^{<\mu}\gamma$  with reverse inclusion. Needless to say,  ${}^{<\mu}\gamma$  is  $\mu$ -closed and forces  $|\gamma| = \mu$ . Let us sketch a proof of

**Lemma 2.** *If  $\gamma^{<\kappa} = \gamma$ , then  ${}^{<\mu}\gamma$  is isomorphic to a dense subset of  ${}^{<\mu}\kappa \times {}^{<\kappa}\gamma$ .*

*Proof.* Define

$$D = \{(q, r) \in {}^{<\mu}\kappa \times {}^{<\kappa}\gamma : \sup\{\beta + 1 : \beta \in \text{ran } q\} = \text{dom } r\}.$$

It is easy to see that  $D$  is dense in  ${}^{<\mu}\kappa \times {}^{<\kappa}\gamma$ . The following three facts should suffice to construct an isomorphism between  ${}^{<\mu}\gamma$  and  $D$  by recursion.

First  $(\emptyset, \emptyset) \in D$ . Second each  $(q, r) \in D$  has  $\gamma$  immediate extensions in  $D$ . Third if  $\langle (q_\alpha, r_\alpha) : \alpha < \delta \rangle$  is a descending sequence in  $D$  with  $\delta < \mu$ , then we have  $(\bigcup_{\alpha < \delta} q_\alpha, \bigcup_{\alpha < \delta} r_\alpha) \in D$ .  $\square$

**Corollary 3.** *If  $\gamma \geq \kappa$  is strongly regular, there is a projection from  ${}^{<\mu}\gamma$  to  ${}^{<\kappa}\gamma$ .*

Let  $F$  be a filter on a set. We denote by  $F^+$  the set of  $F$ -positive sets ordered by:  $X' \leq X$  iff  $\exists C \in F (X' \cap C \subset X)$ . Then  $F^+$  is a separative poset. We say that  $F$  is  $(\kappa, \kappa, \mu)$ -saturated if  $F^+$  has  $(\kappa, \kappa, \mu)$ -cc.

### 3. THE EASTON COLLAPSE

In this section we define the Easton collapse  $E(\mu, \kappa)$  and prove its basic properties.

For a set  $X$  of ordinals define

$$E(\mu, X) = \prod_{\mu \leq \gamma \in X}^E {}^{<\mu}\gamma.$$

It is easy to see that  $E(\mu, X)$  is  $\mu$ -directed closed and forces  $|\gamma| \leq \mu$  for all strongly regular  $\gamma \in X$ .  $E(\mu, \kappa)$  is a subset of  $V_\kappa$ , hence has size  $\kappa$  if  $\kappa$  is inaccessible. If  $\kappa$  is Mahlo, then  $E(\mu, \kappa)$  has  $\kappa$ -cc by Lemma 1, and hence forces  $\kappa = \mu^+$ . If  $\mu < \kappa \leq \nu < \lambda$  are all regular, Corollary 3 provides a projection from  $E(\mu, \lambda - \kappa) = \prod_{\kappa \leq \gamma < \lambda}^E {}^{<\mu}\gamma$  to  $\prod_{\nu \leq \gamma < \lambda}^E {}^{<\nu}\gamma = E(\nu, \lambda)$ .

Here is the main result of this section:

**Lemma 4.** *Suppose that  $P$  has  $\kappa$ -cc and size  $\leq \kappa$ . Then there is a projection  $\pi : P \times E(\kappa, \lambda) \rightarrow P * \dot{E}(\kappa, \lambda)$  such that  $\pi(p, q)$  has the form  $(p, \dot{q})$ , where*

- $\Vdash_P \text{dom } \dot{q} = \text{dom } q$  and
- each  $\dot{q}(\gamma)$  depends only on  $q(\gamma)$ , i.e. if in addition  $\pi(p', q') = (p', \dot{q}')$  and  $q(\gamma) = q'(\gamma)$ , then  $\Vdash_P \dot{q}(\gamma) = \dot{q}'(\gamma)$ .

*Proof.* Since  $P$  has  $\kappa$ -cc and size  $\leq \kappa$ , forcing with  $P$  does not change the class of (strongly) regular cardinals  $\geq \kappa$ . If  $\gamma \geq \kappa$  is regular and  $\Vdash \dot{\alpha} < \gamma$ , then there is  $\beta < \gamma$  with  $\Vdash \dot{\alpha} < \beta$ . If  $\gamma \geq \kappa$  is strongly regular, there exist exactly  $\gamma$  representatives from the  $P$ -names  $\dot{\alpha}$  such that  $\Vdash \dot{\alpha} < \gamma$ . Thus we can take  $P$ -names  $\dot{\tau}(\xi)$  so that for every strongly regular  $\gamma \geq \kappa$

- if  $\xi < \gamma$ , then  $\Vdash \dot{\tau}(\xi) < \gamma$  and

- if  $\Vdash \dot{\alpha} < \gamma$ , then there is  $\xi < \gamma$  with  $\Vdash \dot{\alpha} = \dot{\tau}(\xi)$ .

For  $(p, q) \in P \times E(\kappa, \lambda)$  define

$$\pi(p, q) = (p, \dot{q}),$$

where  $\dot{q}$  is a  $P$ -name such that

- $\Vdash \text{dom } \dot{q} = \text{dom } q$  and
- $\Vdash \dot{q}(\gamma) = \langle \dot{\tau}(q(\gamma)(\eta)) : \eta \in \text{dom } q(\gamma) \rangle$  for every  $\gamma \in \text{dom } q$ .

Since  $P$  has  $\kappa$ -cc,  $\text{dom } q$  remains an Easton subset of  $\lambda - \kappa$  after forcing with  $P$ . Moreover  $\Vdash \dot{q}(\gamma)(\eta) < \gamma$  by  $q(\gamma)(\eta) < \gamma$  and the choice of  $\dot{\tau}(\xi)$ . Thus  $\pi(p, q) \in P * \dot{E}(\kappa, \lambda)$ .

**Claim.**  $\pi$  is a projection.

*Proof.* It is easy to see that  $\pi$  is order-preserving and  $\pi(1_P, \emptyset) = (1_P, \emptyset)$ .

Now assume  $(p, q) \in P \times E(\kappa, \lambda)$  and  $(p', \dot{q}') \leq \pi(p, q)$  in  $P * \dot{E}(\kappa, \lambda)$ . Let  $(p, \dot{q}) = \pi(p, q)$ . Define

$$p^* = p'.$$

Then  $p^* \leq p$  by  $(p', \dot{q}') \leq (p, \dot{q})$ . It remains to find  $q^* \leq q$  in  $E(\kappa, \lambda)$  such that  $\pi(p^*, q^*) \leq (p', \dot{q}')$  in  $P * \dot{E}(\kappa, \lambda)$ . Define

$$d^* = \{\gamma : \exists r \in P(r \Vdash \gamma \in \text{dom } \dot{q}')\}.$$

Since  $P$  has  $\kappa$ -cc and  $\Vdash \dot{q}' \in \dot{E}(\kappa, \lambda)$ ,  $d^*$  is an Easton subset of  $\lambda - \kappa$ . Moreover  $\text{dom } q \subset d^*$  because

$$p' \Vdash \text{dom } q = \text{dom } \dot{q} \subset \text{dom } \dot{q}' \subset d^*.$$

The left equality follows from the definition of  $\dot{q}$ , the middle inclusion from  $(p', \dot{q}') \leq (p, \dot{q})$ , and the right inclusion from the definition of  $d^*$ .

Fix  $\gamma \in d^*$ . Since  $P$  has  $\kappa$ -cc and  $\Vdash \dot{q}' \in \dot{E}(\kappa, \lambda)$ , there is  $\delta_\gamma^* < \kappa$  such that  $\Vdash \gamma \in \text{dom } \dot{q}' \rightarrow \text{dom } \dot{q}'(\gamma) \subset \delta_\gamma^*$ . If  $\gamma \in \text{dom } q$ , then  $\text{dom } q(\gamma) \subset \delta_\gamma^*$  because

$$p' \Vdash \text{dom } q(\gamma) = \text{dom } \dot{q}(\gamma) \subset \text{dom } \dot{q}'(\gamma) \subset \delta_\gamma^*.$$

The left equality follows from the definition of  $\dot{q}$ , the middle inclusion from  $(p', \dot{q}') \leq (p, \dot{q})$ , and the right inclusion from  $p' \Vdash \gamma \in \text{dom } \dot{q}'$  and the choice of  $\delta_\gamma^*$ .

Now define  $q^*$  with  $\text{dom } q^* = d^*$  and  $\text{dom } q^*(\gamma) = \delta_\gamma^*$  for every  $\gamma \in d^*$  so that

- $q^*(\gamma)(\eta) = q(\gamma)(\eta)$  if  $\gamma \in \text{dom } q$  and  $\eta \in \text{dom } q(\gamma)$ , or else
- $q^*(\gamma)(\eta)$  is the minimal  $\xi$  such that  $\Vdash \gamma \in \text{dom } \dot{q}' \wedge \eta \in \text{dom } \dot{q}'(\gamma) \rightarrow \dot{q}'(\gamma)(\eta) = \dot{\tau}(\xi)$ .

Note that  $q^*(\gamma)(\eta) < \gamma$  by  $q \in E(\kappa, \lambda)$  in the first case, and by  $\Vdash \dot{q} \in \dot{E}(\kappa, \lambda)$  and the choice of  $\dot{\tau}(\xi)$  in the second case. Thus  $q^* \in E(\kappa, \lambda)$  and  $q^* \leq q$ .

Let  $(p^*, \dot{q}^*) = \pi(p^*, q^*)$ . Since  $p^* = p'$ , it remains to prove that  $p' \Vdash \dot{q}^* \leq \dot{q}'$ . First recall that

$$\Vdash \text{dom } \dot{q}^* = \text{dom } q^* = d^* \supset \text{dom } \dot{q}'.$$

It remains to prove that for every  $\gamma \in d^*$  and  $\eta \in \delta_\gamma^*$

$$p' \Vdash \gamma \in \text{dom } \dot{q}' \wedge \eta \in \text{dom } \dot{q}'(\gamma) \rightarrow \dot{q}^*(\gamma)(\eta) = \dot{q}'(\gamma)(\eta).$$

If  $\gamma \in \text{dom } q$  and  $\eta \in \text{dom } q(\gamma)$ , the claim follows from

$$p' \Vdash \dot{q}^*(\gamma)(\eta) = \dot{\tau}(q^*(\gamma)(\eta)) = \dot{\tau}(q(\gamma)(\eta)) = \dot{q}'(\gamma)(\eta).$$

The left equality follows from the definition of  $\dot{q}^*$ , the middle from that of  $q^*$ , and the right from  $(p', \dot{q}') \leq (p, \dot{q})$ .

In the remaining case the claim follows from

$$\Vdash \gamma \in \text{dom } \dot{q}' \wedge \eta \in \text{dom } \dot{q}'(\gamma) \rightarrow \dot{q}^*(\gamma)(\eta) = \dot{\tau}(q^*(\gamma)(\eta)) = \dot{q}'(\gamma)(\eta).$$

The left equality follows from the definition of  $\dot{q}^*$ , and the right from that of  $q^*$ .  $\square$

This completes the proof.  $\square$

**Remark.** Lemma 4 should hold for suitable modifications of the collapses of Levy and Silver. See [13] or [14] for the corresponding lemma for the modified Silver collapse and the resulting model in which a saturated filter exists and Chang's conjecture holds.

In [11] Laver introduced a poset  $L(\kappa, \lambda)$ , here called the Laver collapse. It is the product of collapsing posets with Easton support and bounded height. Using Kunen's method Laver constructed a forcing of the form  $P * \dot{L}(\kappa, \lambda)$ , which produces an  $(\omega_2, \omega_2, \omega)$ -saturated filter on  $\omega_1$ . Although Lemma 4 should hold for a suitable modification of the Laver collapse as well, we need to work with the Easton collapse because a projection, say from  $L(\mu, \lambda - \kappa)$  to  $L(\kappa, \lambda)$  is not available to us. For the same reason we cannot substitute the collapses of Levy or of Silver for the Easton collapse.

For a  $P$ -name  $\dot{Q}$  for a poset let  $T(P, \dot{Q})$  denote the term forcing. It is known that the identity map from  $P \times T(P, \dot{Q})$  to  $P * \dot{Q}$  is a projection. See [5] for details. In [1] Cummings observed that  $T(P, \dot{<\kappa}\gamma)$  is equivalent to  $\dot{<\kappa}\gamma$  if  $P$  has  $\kappa$ -cc and size  $\leq \kappa$ , and  $\gamma^{<\kappa} = \gamma$ . The proof of Lemma 4 shows in effect that  $T(P, \dot{E}(\kappa, \lambda))$  is equivalent to  $E(\kappa, \lambda)$ . To see that the filter in our model is  $\lambda$ -saturated only, it suffices to prove this fact or even Lemma 4 without additional clauses.

#### 4. THE MAIN THEOREM

This section is devoted to the proof of

**Theorem.** *Suppose that  $\kappa$  is almost huge with target  $\lambda$  and  $\lambda$  is Mahlo. Let  $\mu < \nu$  be both regular with  $\mu < \kappa \leq \nu < \lambda$ . Then  $E(\mu, \kappa) * \dot{E}(\nu, \lambda)$  forces that  $\mathcal{P}_{\kappa}\nu$  carries a  $(\lambda, \lambda, \mu)$ -saturated normal filter.*

*Proof.* Let  $j : V \rightarrow M$  witness that  $\kappa$  is almost huge with target  $\lambda$ , i.e.  $\kappa = \text{crit}(j)$ ,  $\lambda = j(\kappa)$  and  $\dot{<\lambda}M \subset M$ . Then we have  $j(E(\mu, \kappa)) = E(\mu, \lambda)$ , which is canonically isomorphic to  $E(\mu, \kappa) \times E(\mu, \lambda - \kappa)$ . As stated in §3, there is a projection from  $E(\mu, \lambda - \kappa)$  to  $E(\nu, \lambda)$ . Since  $E(\mu, \kappa)$  has  $\kappa$ -cc and size  $\kappa$ , there is a projection from  $E(\mu, \kappa) \times E(\nu, \lambda)$  to  $E(\mu, \kappa) * \dot{E}(\nu, \lambda)$  as in Lemma 4. Thus we get a projection  $\pi : E(\mu, \lambda) \rightarrow E(\mu, \kappa) * \dot{E}(\nu, \lambda)$  such that  $\pi(p)$  has the form  $(p|_{\kappa}, \dot{q})$ , where  $E(\mu, \kappa) \Vdash \text{dom } \dot{q} = \text{dom } p - \nu$  and each  $\dot{q}(\gamma)$  depends only on  $p(\gamma)$ .

Now let  $\bar{G} \subset E(\mu, \lambda)$  be  $V$ -generic. Then  $\pi''\bar{G}$  generates a  $V$ -generic filter over  $E(\mu, \kappa) * \dot{E}(\nu, \lambda)$ , say  $G * H$ . We claim that  $V[G][H]$  is the desired model. Since  $j''G = G \subset \bar{G}$ , we can lift  $j : V \rightarrow M$  to  $j : V[G] \rightarrow M[\bar{G}]$  in  $V[\bar{G}]$ . Since  $\lambda$  is Mahlo in  $V$ ,  $E(\mu, \lambda)$  has  $\lambda$ -cc in  $V$ . Hence we have  $\dot{<\lambda}M[\bar{G}] \subset M[\bar{G}]$  in  $V[\bar{G}]$  by  $\dot{<\lambda}M \subset M$  in  $V$ .

Work in  $V[G]$ . Since  $E(\mu, \kappa)$  has size  $\kappa$  in  $V$ ,  $\lambda$  remains Mahlo and hence  $E(\nu, \lambda)$  has  $\lambda$ -cc. Thus a nice  $E(\nu, \lambda)$ -name for a subset of  $\mathcal{P}_\kappa \nu$  can be viewed as an  $E(\nu, \xi)$ -name for some  $\xi < \lambda$ . So we can list the set of all such names with cofinal repetition as  $\{\dot{X}_\xi : \xi < \lambda\}$ .

Now work in  $V[\bar{G}]$ . Since  ${}^{<\lambda}M[\bar{G}] \subset M[\bar{G}]$ ,  $E(j(\nu), j(\xi))^{M[\bar{G}]}$  is  $\lambda$ -directed closed for  $\xi < \lambda$ . So we can define for  $\xi < \lambda$

$r_\xi$  = the greatest lower bound of  $j^{\text{“}}(H \cap E(\nu, \xi)^{V[G]})$  in  $E(j(\nu), j(\xi))^{M[\bar{G}]}$ .

Note that  $\xi < \zeta < \lambda$  implies  $r_\zeta \restriction j(\xi) = r_\xi$ . Thus we can define a descending sequence  $\langle r_\xi^* : \xi < \lambda \rangle$  in  $E(j(\nu), j(\lambda))^{M[\bar{G}]}$  by recursion so that

- $r_\xi^* \leq r_\xi$  in  $E(j(\nu), j(\xi))^{M[\bar{G}]}$  and
- if  $\dot{X}_\xi$  is a  $E(\nu, \xi)^{V[G]}$ -name, then  $r_\xi^*$  decides  $j^{\text{“}}\nu \in j(\dot{X}_\xi)$  in  $M[\bar{G}]$ .

Define

$$U = \{(\dot{X}_\xi)_H : \xi < \lambda \wedge M[\bar{G}] \models r_\xi^* \Vdash j^{\text{“}}\nu \in j(\dot{X}_\xi)\}.$$

Standard arguments show that  $U$  is a  $V[G][H]$ -normal ultrafilter on  $\mathcal{P}_\kappa \nu^{V[G][H]}$ .

Finally we work in  $V[G][H]$ . Since  $E(\mu, \lambda)$  projects down to  $E(\mu, \kappa) * \dot{E}(\nu, \lambda)$  in  $V$ , there is a  $E(\mu, \lambda)^V / (G * H)$ -name  $\dot{U}$  such that

$$E(\mu, \lambda)^V / (G * H) \Vdash \dot{U} \text{ is a } V[G][H]\text{-normal ultrafilter on } \mathcal{P}_\kappa \nu^{V[G][H]}.$$

Define

$$F = \{X \subset \mathcal{P}_\kappa \nu : E(\mu, \lambda)^V / (G * H) \Vdash X \in \dot{U}\}.$$

Standard arguments show that  $F$  is a normal filter on  $\mathcal{P}_\kappa \nu$ . We claim that  $F$  is  $(\lambda, \lambda, \mu)$ -saturated. Standard arguments show that

$$X \mapsto \sum \{p \in E(\mu, \lambda)^V / (G * H) : p \Vdash X \in \dot{U}\}$$

defines a complete embedding of  $F^+$  into  $B(E(\mu, \lambda)^V / (G * H))$ . So it suffices to prove that  $E(\mu, \lambda)^V / (G * H)$  has  $(\lambda, \lambda, \mu)$ -cc. Let  $\{p_\xi : \xi < \lambda\} \subset E(\mu, \lambda)^V / (G * H)$ . Since  $E(\mu, \kappa)$  has  $\kappa$ -cc and forces  $\dot{E}(\nu, \lambda)$  to be  $\kappa$ -closed in  $V$ , it suffices to find  $S \in [\lambda]^\lambda$  such that if  $x \in [S]^\mu$  and  $\langle p_\xi : \xi \in x \rangle \in V$ ,  $\{p_\xi : \xi \in x\}$  has a common extension in  $E(\mu, \lambda)^V / (G * H)$ .

Let  $R$  be the set of regular cardinals  $< \lambda$  in  $V$ . Since  $\lambda$  is Mahlo and  $E(\mu, \kappa) * \dot{E}(\nu, \lambda)$  has  $\lambda$ -cc in  $V$ ,  $R$  is stationary. As in the proof of Lemma 1 we get a stationary  $S \subset R$  such that  $\{\text{dom } p_\xi : \xi \in S\}$  forms a  $\Delta$ -system, say with root  $d$ . Moreover we may assume that  $p_\xi \restriction d$  is constant and  $\text{dom } p_\xi \cap \kappa \subset d$  for  $\xi \in S$ .

Suppose  $x \in [S]^\mu$  and  $\langle p_\xi : \xi \in x \rangle \in V$ . Define  $p = \bigcup_{\xi \in x} p_\xi$ . We claim that  $p$  is a lower bound of  $\{p_\xi : \xi \in x\}$  in  $E(\mu, \lambda)^V / (G * H)$ . Since  $p_\xi \restriction d$  is constant on  $S$ ,  $p$  is a lower bound of  $\{p_\xi : \xi \in x\}$  in  $E(\mu, \lambda)^V$ .

It remains to prove that  $\pi(p) \in G * H$ . Let  $(p \restriction \kappa, \dot{q}) = \pi(p)$  and  $(p_\xi \restriction \kappa, \dot{q}_\xi) = \pi(p_\xi)$  for  $\xi \in S$ . Since  $p_\xi \restriction \kappa$  is constant on  $S$ , we have  $p \restriction \kappa = p_\xi \restriction \kappa$  for every  $\xi \in S$ . Hence  $p \restriction \kappa \in G$  by  $(p_\xi \restriction \kappa, \dot{q}_\xi) = \pi(p_\xi) \in G * H$ . To see that  $\dot{q}_G \in H$ , note first that  $(\dot{q}_\xi)_G \in H$  by  $(p_\xi \restriction \kappa, \dot{q}_\xi) \in G * H$ . Since  $\text{dom}(\dot{q}_\xi)_G = \text{dom } p_\xi - \nu$ ,  $\{\text{dom}(\dot{q}_\xi)_G : \xi \in S\}$  forms a  $\Delta$ -system with root  $d - \nu$ . Moreover  $(\dot{q}_\xi)_G \restriction (d - \nu)$  is constant on  $S$ . Thus  $\dot{q}_G = \bigcup_{\xi \in x} (\dot{q}_\xi)_G$  is the greatest lower bound of  $\{(\dot{q}_\xi)_G : \xi \in x\}$  in  $E(\nu, \lambda)^{V[G]}$ . Therefore  $\dot{q}_G \in H$ , as desired.  $\square$

**Remark.** For the moment let us assume that  $\kappa$  is huge with target  $\lambda$ . As remarked in §3, our strategy requires forcing with Easton collapses rather than with Laver collapses. This requires in turn invoking an argument of Magidor [8] that involves local master conditions, even under the stronger hypothesis as above. In fact we can dispense with the argument in the case  $\nu > \kappa$ . Moreover the proof in this case, if modified as in [12], shows that  $[\lambda]^\kappa$  carries a  $(\lambda, \lambda, \mu)$ -saturated  $\kappa$ -complete filter in the extension.

In [11] Laver observed that a strong form of Chang's conjecture holds in his model. We do not know whether our model in the case  $\nu = \kappa$  satisfies the conjecture.

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